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8 UNITED STATE DISTRICT COURT
9 SOUTHERN DISTRICT OF CALIFORNIA
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12 **Tony Roberts,**

13 Plaintiff,

14 v.

15 **J. Beard et al.,**

16 Defendants.
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Case No. 15cv1044 WQH (RBM)

**REPORT AND
RECOMMENDATION GRANTING
IN PART AND DENYING IN PART
DEFENDANTS' MOTION FOR
SUMMARY JUDGMENT (Doc. 172)**

19 I. INTRODUCTION

20 Plaintiff Tony Roberts, an inmate currently incarcerated at California Health
21 Care Facility, has filed a 42 U.S.C. § 1983 lawsuit against staff at the RJ Donovan
22 Correctional Facility for violations of his First Amendment right to file grievances
23 and for various violations of state law. (Doc. 1, at 3-4.) Plaintiff alleges that
24 Defendants R. Davis, A. Buenrostro, C. Meza, A. Parker, R. Solis, R. Santiago, and
25 K. Seibel – all prison staff – retaliated against him for engaging in First
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1 Amendment conduct.¹ (Doc. 1, at 11-12.) Defendants have filed a motion for
2 summary judgment on the following grounds: 1) The undisputed evidence shows
3 that Defendants did not retaliate against Plaintiff in violation of his First
4 Amendment rights; 2) Plaintiff's state law claims do not create enforceable
5 individual rights; and 3) Defendants are entitled to qualified immunity.² (Doc. 172-
6 1, at 19-24.) For the following reasons, the Court recommends granting in part and
7 denying in part Defendants' motion for summary judgment.
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9 II. ALLEGATIONS

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11 Plaintiff alleges that "Defendants conspired to retaliate against [him] for
12 engaging in 'protected conduct' when [he] petitioned for redress of his grievances"
13 between April and October 2014. (Doc. 1, at 19.) Plaintiff alleges that Defendants
14 Davis and Buenrostro "engaged in a series of unlawful and repressive conduct
15 against Plaintiff and other mentally ill inmates" when Plaintiff "attempted to access
16 [RJ Donovan's] inmate appeal procedure to complain about these Defendants'
17 conduct" which "were either screened out or were never responded to by [RJ
18 Donovan's] prison officials." (Doc. 1, at 10.) Plaintiff states that after he wrote the
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24 ¹ Plaintiff also named the following people in his Complaint: Captain S.
25 Sanchez, L. Ciborowski, D. Arguilez, D. Paramo, and J. Beard. However, Plaintiff
26 never properly served these Defendants. See Judge William Q. Hayes's Order, Doc.
27 31.

28 ² Defendants also argue that the Eighth Amendment claim against Defendant
Buenrostro should also be dismissed on summary judgment. (Doc. 172-1, at 6.)
However, Judge Hayes already dismissed this Eighth Amendment claim against
Defendant Buenrostro on summary judgment on September 24, 2018. (Doc. 136, at
6-8.)

1 “class monitors” of the California Department of Corrections and Rehabilitation’s
2 mental health delivery system, appointed under Coleman v. Brown et al., 28 F.
3 Supp. 3d 1068 (E.D. Cal. April 11, 2014), Plaintiff was retaliated against and
4 terrorized by Defendants A. Buenrostro, R. Davis, C. Meza, A. Parker, R. Solis, R.
5 Santiago, and K. Seibel for engaging in First Amendment conduct. (Doc. 1, at 10-
6 11.)

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9 Plaintiff claims that Defendants C. Meza and A. Buenrostro prohibited
10 Plaintiff’s ability to send written communications of public interest to government
11 officials. (Doc. 1, at 19.) Plaintiff states that Defendant C. Meza “illegal[ly]
12 obtained a copy of a written complaint Plaintiff had drafted and submitted” to the
13 Department of Justice and gave the complaint to Defendant Buenrostro, who then
14 concocted false allegations against Plaintiff in retaliation and arranged with other
15 officers Plaintiff’s transfer to another prison that caused Plaintiff “to experience an
16 exacerbation in his mental illness.” (Doc. 1, at 12.) Plaintiff claims that Defendants
17 A. Parker and A. Buenrostro conducted a cell search on June 3, 2014 and
18 confiscated legal documents from Plaintiff including a civil rights complaint that
19 was about to be filed against Defendants Buenrostro and Meza for the April 2, 2014
20 incident, in which Plaintiff was found guilty of “Openly Displaying Disrespect” to
21 Defendant Buenrostro. (Doc. 1, at 20.) Plaintiff alleges that Defendants Buenrostro
22 and Parker “concocted false disciplinary charges” against him, accusing him of
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1 working with another prisoner to falsely accuse Defendant Buenrostro. (Doc. 1, at
2 20-21.)

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4 Plaintiff claims that Defendants Davis, Meza, and Buenrostro falsely labeled
5 Plaintiff a “snitch,” causing him to be attacked by other inmates, in retaliation for
6 exercising his First Amendment rights. (Doc. 1, at 21-24.) Plaintiff alleges that
7 Defendant Buenrostro told other prisoners that he was a child molester on
8 September 29, 2014, in a “calculated effort to place Plaintiff’s safety in danger from
9 other inmates.” (Doc. 1, at 23.) Plaintiff claims that Defendant K. Seibel, the deputy
10 chief warden, conspired to retaliate against Plaintiff for filing grievances by
11 authorizing the illegal activities of the other correctional officers under her and by
12 placing him on a list for transfer to another CDCR facility in Stockton in September
13 and October 2014. (Doc. 1, at 14-15, 23.)

14
15 Finally, Plaintiff alleges that Defendant Buenrostro conducted a clothed body
16 search of Plaintiff on April 2, 2014 and intentionally rubbed Plaintiff’s private parts
17 for sexual gratification in retaliation for exercising his First Amendment rights.
18 (Doc. 1, at 11-12.) Plaintiff alleges that Buenrostro then wrote up a false and
19 retaliatory rules violation report against him for exercising his constitutional rights.
20 (Doc. 1, at 11.) Plaintiff alleges that Defendant Buenrostro later spoke to him in
21 October 2014 and promised to “get some payback on your ass” and attempted to set
22 Plaintiff up to be injured by other inmates. (Doc. 1, at 24.)

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1 III. EVIDENCE PRESENTED

2 **A. Defendants' Proffer**

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4 Defendants A. Buenrostro and C. Meza both declared that they did not take
5 any adverse action against Plaintiff because Plaintiff corresponded with the "class
6 monitors" of CDCR's mental health delivery system, appointed under Coleman v.
7 Brown et al., or for any other reason. (Buenrostro Decl. ¶ 2; Meza Decl. ¶ 3.)
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9 Defendants Buenrostro and Meza stated that they never refused to process
10 Plaintiff's outgoing mail and that they never interfered with Plaintiff's outgoing or
11 incoming mail. (Buenrostro Decl. ¶ 3; Meza Decl. ¶ 2.) Defendant Meza never
12 confiscated or otherwise obtained any of Plaintiff's legal materials. (Meza Decl. ¶
13 4.) Defendants Buenrostro and Parker did not confiscate a civil rights lawsuit
14 during a search of Plaintiff's cell on June 3, 2014. (Buenrostro Decl. ¶ 11; Parker
15 Decl. ¶ 2.)
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18 Defendant Buenrostro was monitoring the inmates in Housing Unit A-1 on
19 April 2, 2014. (Buenrostro Decl. ¶ 3.) Defendant Buenrostro ordered Plaintiff to
20 leave the housing unit and go to the dining hall for breakfast or return to his cell,
21 but he stated that Plaintiff ignored his orders. (Buenrostro Decl. ¶ 3.) Defendant
22 Buenrostro approached Plaintiff and again ordered Plaintiff to leave the housing
23 unit or return to his cell and Plaintiff responded, "Don't worry about what I'm
24 doing, stupid Mexican." (Buenrostro Decl. ¶ 3.) Defendant Buenrostro stated that
25 he searched Plaintiff because Plaintiff's actions were suspicious and unusual.
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1 (Buenrostro Decl. ¶ 4.) Defendant Buenrostro told Plaintiff that he was expected to
2 follow orders and procedures within the housing unit. (Buenrostro Decl. ¶ 4.)
3 Plaintiff was agitated and angry and responded, "Fuck you stupid Mexican. I'm
4 going to do what I want to do." (Buenrostro Decl. ¶ 4.) At that point, Defendant
5 Buenrostro placed Plaintiff in handcuffs because of Plaintiff's unusual behavior and
6 agitated state, and as a safety precaution, Plaintiff was escorted to the Program
7 Support Unit. (Buenrostro Decl. ¶ 4.) Defendant Buenrostro declared that he did not
8 use excessive or improper force on Plaintiff at any time during the incident and
9 clothed body search on April 2, 2014. (Buenrostro Decl. ¶ 5.) Defendant Buenrostro
10 stated that he did not sexually assault Plaintiff during that search and did not rub
11 Plaintiff's private parts for sexual gratification. (Buenrostro Decl. ¶ 5.) Defendant
12 Buenrostro searched Plaintiff because his actions were suspicious, and Defendant
13 Buenrostro knew that Plaintiff was not assigned to cell 210. (Buenrostro Decl. ¶ 5.)
14 Defendant Buenrostro also knew, based on his training, education, and personal
15 experience within CDCR, that inmates often try to go to other cells for improper
16 purposes such as delivering or obtaining contraband including drugs, weapons,
17 currency, or electronic equipment or other property that is not theirs. (Buenrostro
18 Decl. ¶ 5.) This, and Plaintiff's agitated state, were the only reasons why Defendant
19 Buenrostro performed a clothed body search of Plaintiff. (Buenrostro Decl. ¶ 5.)
20 Defendant Buenrostro wrote a 115 Rules Violation Report charging Plaintiff with
21 behavior that leads to violence in violation of California Code of Regulations, Title
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1 15, section 3005(d). (Buenrostro Decl. ¶ 6 and Exhibit A.) Defendant Buenrostro
2 stated that he did not write this report in retaliation. (Buenrostro Decl. ¶ 6 and
3 Exhibit A.) This Rules Violation Report was heard by a senior hearing officer,
4 Correctional Lieutenant R. Davis, on May 1, 2014. (Buenrostro Decl. ¶ 6 and
5 Exhibit A thereto.) Lt. Davis found Plaintiff not guilty of behavior that leads to
6 violence, but instead found him guilty of the lesser included offense of openly
7 displaying disrespect in violation of California Code of Regulations, Title 15,
8 section 3004 (b). Lt. Davis's finding was based upon a preponderance of the
9 evidence submitted at the hearing. (Buenrostro Decl. ¶ 6 and Exhibit A.) This
10 evidence included Defendant Buenrostro's written report which stated in part that
11 Plaintiff said "don't worry about what I'm doing stupid Mexican," and the
12 testimony of Correctional Counselor Hailey, who told Lt. Davis that he heard
13 Plaintiff call Defendant Buenrostro "a Mexican." (Buenrostro Decl. ¶ 6 and Exhibit
14 A.) Plaintiff was assessed thirty days forfeiture of good-time credits, thirty days
15 loss of evening yard privileges, and thirty days loss of dayroom privileges.
16 (Buenrostro Decl. ¶ 6 and Exhibit A.) This 115 Rules Violation Report's guilty
17 finding has not been overturned by the CDCR. (Buenrostro Decl. ¶ 6 and Exhibit
18 A.) Defendant Davis, who made the guilty finding, has declared that he never
19 participated in an "ongoing conspiracy to purposefully punish [Plaintiff] for
20 exercising his right to file inmate grievances." (Davis Decl. ¶ 2.)
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1 Defendant Buenrostro never contacted Sergeant Sanchez to plot Plaintiff's
2 transfer to another prison, knowing that doing so would exacerbate Plaintiff's
3 mental illness. (Buenrostro Decl. ¶ 8.) Defendant Buenrostro did not have authority
4 to have an inmate transferred, and he had no influence over the decision to transfer
5 an inmate. (Buenrostro Decl. ¶ 8.) Defendant Buenrostro has never sat on any of
6 Plaintiff's classification committees, and he has never acted as a Classification Staff
7 Representative reviewing any action concerning Plaintiff. (Buenrostro Decl. ¶ 10.)

8
9 Defendants Buenrostro and Parker did not "concoct" false disciplinary charges
10 against Plaintiff. (Buenrostro Decl. ¶ 12; Parker Decl. ¶ 6.) Defendants Buenrostro
11 and Parker were working as the Floor Officers in Housing Unit A-1 at RJ Donovan
12 on June 3, 2014. (Buenrostro Decl. ¶ 13; Parker Decl. ¶ 2.) Defendants Buenrostro
13 and Parker randomly chose to search Plaintiff's cell that day. (Buenrostro ¶¶ 13, 15;
14 Parker Decl. ¶ 2, 4.) Defendant Parker discovered a small, clear plastic bag lying on
15 the lower-bunk mattress underneath a blue, state-issued jacket. (Buenrostro Decl. ¶
16 13; Parker Decl. ¶ 2.) The bag was filled with tobacco. (Buenrostro Decl. ¶ 13;
17 Parker Decl. ¶ 2.) The lower bunk was assigned to Plaintiff at that time.
18 (Buenrostro Decl. ¶ 13; Parker Decl. ¶ 2.) Defendant Parker took possession of the
19 tobacco and disposed of it per institutional procedures. (Buenrostro Decl. ¶ 13;
20 Parker Decl. ¶ 2.) Defendant Parker did not "plant" the bag of tobacco on Plaintiff's
21 bunk. (Parker Decl. ¶ 4.) Defendant Parker wrote a 115 Rules Violation Report
22 charging Plaintiff with possession of contraband (tobacco) in violation of California
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1 Code of Regulations, Title 15, section 3006. (Buenrostro Decl. ¶ 14; Parker Decl. ¶
2 3 and Exhibit A.) This Rules Violation Report was heard by a senior hearing
3 officer, Correctional Lieutenant R. Davis, on July 2, 2014. (Buenrostro Decl. ¶ 14;
4 Parker Decl. ¶ 3 and Exhibit A.) Lt. Davis ultimately found Plaintiff not guilty of
5 this charge and dismissed the rules violation report because of insufficient
6 evidence. (Buenrostro Decl. ¶ 14; Parker Decl. ¶ 3 and Exhibit A.) Defendants
7
8 Buenrostro and Parker did not search Plaintiff's cell in retaliation for any protected
9 conduct that Plaintiff may have engaged in or for any other improper reason.
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11 (Buenrostro Decl. ¶ 15; Parker Decl. ¶ 4.) Defendants Buenrostro and Parker
12 searched Plaintiff's cell because they were required to perform three to five random
13 cell searches during their shifts as floor officers. (Buenrostro Decl. ¶ 15; Parker
14 Decl. ¶ 4.) Defendant Parker did not conspire with Buenrostro, or any other
15 correctional staff member or inmate, to file false disciplinary charges against
16 Plaintiff, and no one ever asked or suggested that Parker do so. (Parker Decl. ¶ 6.)

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20 Defendants Buenrostro, Meza, and Santiago neither manufactured any charges
21 against Plaintiff at any time, nor have they asked or pressured others to do so.
22 (Buenrostro Decl. ¶ 20; Meza Decl. ¶ 6; Santiago Decl. ¶ 2.) Defendant Buenrostro
23 has never taken any adverse action against Plaintiff that was not based upon a
24 legitimate, penological reason. (Buenrostro Decl. ¶ 20.) Defendant Buenrostro
25 never told Plaintiff that he would "get some payback" and never attempted to set up
26 Plaintiff to be injured by other inmates. (Buenrostro Decl. ¶ 22.) Defendant
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1 Buenrostro is not aware of any report or instance where Plaintiff was attacked by
2 other inmates from April through October 2014, and he is not aware of any reports
3 evidencing such an attack. (Buenrostro Decl. ¶ 22.) Defendant Buenrostro has never
4 threatened Plaintiff or bribed or caused another inmate to assault, attack, or hurt
5 Plaintiff. (Buenrostro Decl. ¶ 22-24.)
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8 Defendant Seibel reviewed Plaintiff's transfer data on CDCR's Strategic
9 Offender Management System (SOMS). (Seibel Decl. ¶ 5.) SOMS contains data on
10 each CDCR inmate's case factors. (Seibel Decl. ¶ 5.) The information in SOMS
11 shows that Plaintiff was not placed on a transfer list in September and October 2014
12 to be sent out of RJ Donovan. (Seibel Decl. ¶ 6.) Defendant Seibel does not have
13 unilateral authority to place an inmate on a transfer list. (Seibel Decl. ¶ 6.)
14 Plaintiff's records showed that RJ Donovan reviewed his case on February 18,
15 2014. (Seibel Decl. ¶ 8.) Plaintiff's case was referred to the Classification Staff
16 Representative (CSR) with a recommendation that Plaintiff be retained at RJ
17 Donovan. (Seibel Decl. ¶ 8 and Exhibit A.) The CSR endorsed the Unit
18 Classification Committee's (UCC's) recommendation on March 26, 2014, and
19 Plaintiff remained at RJ Donovan. (Seibel Decl. ¶ 8 and Exhibit B thereto.) This
20 ruling was upheld at Plaintiff's next UCC hearing on September 12, 2014. (Seibel
21 Decl. ¶ 9 and Exhibit C.) Defendant Seibel never had any knowledge that others
22 were planning to retaliate, or were retaliating, against Plaintiff at any time. (Seibel
23 Decl. ¶ 10.) Defendants Seibel and R. Solis never took any adverse action against
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1 Plaintiff for any protected conducted that he may have engaged in, including
2 placing Plaintiff's name on a list for transfer out of RJ Donovan. (Seibel Decl. ¶ 3;
3 Solis Decl. ¶ 3.)

4
5 Defendants Seibel, Santiago, Solis, Meza, Davis, Buenrostro, and Parker never
6 called Plaintiff a snitch or child molester at any time. (Seibel Decl. ¶ 11; Santiago
7 Decl. ¶ 5; Solis Decl. ¶ 5; Meza Decl. ¶ 5; Davis Decl. ¶ 3; Buenrostro Decl. ¶ 21;
8 and Parker Decl. ¶ 7.) In addition to creating a threat of harm to the inmate and a
9 security risk to the institution, any of the Defendants would have faced severe
10 disciplinary action from their supervisors and the prison administration had they
11 called any inmate a "snitch" or a "child molester." (Buenrostro Decl. ¶ 21.)

12 **B. Plaintiff's Proffer**

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15 On April 2, 2014, Plaintiff placed a CDCR Inmate Appeal 602 dated April 2,
16 2014 in Housing Unit #1 Appeals box, alleging sexual assault by Correctional
17 Officer A. Buenrostro. (Roberts Decl. ¶ 3, Doc. 119, at 26.) Plaintiff declared that
18 he never received a response from any prison official regarding the appeal. (*Id.*)

19
20 On June 23, 2014, Plaintiff filed a 602 Appeal dated June 19, 2014 concerning
21 senior CDCR administrators' intentional failure to control Officers D. Arguilez, A.
22 Buenrostro, and R. Davis. (Roberts Decl. ¶ 4, Doc. 119, at 27.) Plaintiff declared
23 that he never received a response addressing the appeal. (*Id.*)

24
25 On July 8, 2014, Plaintiff gave Officer L. Ciborowski an appeal dated June 28,
26 2014, alleging an ongoing conspiracy to retaliate against him. (Roberts Decl. ¶ 5,
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1 Doc. 119, at 27.) Plaintiff also submitted a CDCR Form 22 Inmate Request for
2 Interview to Officer Ciborowski, who accepted it and signed it. (Id.) However,
3 Plaintiff never received a response to the appeal. (Id.) Plaintiff stated that the
4 administrative appeal submitted to Ciborowski on July 8, 2014 included sufficient
5 detail to provide enough information to allow prison officials to take appropriate
6 responsive measures. (Doc. 119, at 15.) Plaintiff declared that it has been his
7 personal experience that RJ Donovan fails to operate an inmate appeal system that
8 conforms to state law and places unreasonable restrictions on an inmate's ability to
9 submit 602 appeals. (Roberts Decl. ¶ 16; Doc. 119, at 31.) Plaintiff stated that he
10 believes that his appeals either vanished or were unlawfully rejected. (Roberts Decl.
11 ¶ 17, 23; Doc. 119, at 31-33.)

12 Plaintiff declared that Officer A. Buenrostro, C. Meza, and R. Davis engaged
13 in unlawful and repressive conduct against him as he attempted to access RJ
14 Donovan's inmate appeal procedure to complain about the Defendants' conduct
15 towards him. (Roberts Decl. ¶ 22, Doc. 119, at 33.) Plaintiff stated that Defendant
16 A. Buenrostro and C. Meza illegally read and refused to process as outgoing mail a
17 Coleman letter to class monitors on March 6, 2014. (Roberts Decl. ¶ 27, Doc. 119,
18 at 34.) Plaintiff then concluded that as a result of his filing 602 appeals and other
19 complaints, he was retaliated against by Defendant Buenrostro, including rubbing
20 or touching his "male organ for the purpose of sexual gratification" during a "pat-
21 down search." (Roberts Decl. ¶ 31, Doc. 119, at 35.) Plaintiff stated that Defendant

1 Buenrostro issued him a false 115 Rules Violation Report for behavior that leads to
2 violence arising out of the April 2, 2014 incident. (Roberts Decl. ¶ 34, Doc. 119, at
3 36.) Plaintiff declared that Defendant Buenrostro falsely accused him of having
4 contraband during the search of his cell on June 3, 2014, an accusation for which he
5 was found not guilty. (Roberts Decl. ¶ 45, Doc. 119, at 38.) Plaintiff declared that
6 Defendants Buenrostro and Parker confiscated a motion for preliminary injunction
7 with attached declarations during the cell search, which denied him the ability to
8 support his allegations for a preliminary injunction. (Roberts Decl. ¶¶ 42, 44, Doc.
9 119, at 37-38.) Plaintiff declared that he was attacked by several black inmates on
10 July 14, 2014 as a result of Defendant Davis labelling him a “snitch” to another
11 inmate and paying “Black Street Gang members money to attack” him. (Roberts
12 Decl. ¶ 53, Doc. 119, at 40.)

13 Plaintiff also submitted his own declaration stating the following evidence:
14 that Defendant Solis told another inmate that he heard that Roberts was going to be
15 transferred and that, as a result, he was fearful that Defendant Solis was “going to
16 cause me harm again because of filing 602’s or legal actions against RJDCF prison
17 officials” (Roberts Decl. ¶¶ 54-55, Doc. 119, at 40); that Defendant Ciborowski told
18 him that he was going to be transferred and that Chief Deputy Warden Seibel “is
19 tired of you with all these 602’s” (Roberts Decl. ¶ 50, Doc. 119, at 39); and that
20 inmate Billy Titus told him that he overheard Defendant Santiago telling Captain
21 Sanchez that Roberts “had inmate Goldmas, CDCR # F-31549, injure himself in
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1 order to set Officer Buenrostro up” (Roberts Decl. ¶ 49, Doc. 119, at 39).

2 In addition to his own declaration, Plaintiff submitted the following inmate
3 declarations: Inmate Juley Gordon stated that Defendant Buenrostro told him that
4 anyone found helping Plaintiff file 602 appeals would be on his hit-list. (Gordon
5 Decl. ¶ 7, Doc. 119, at 83.) Inmate Gerald Marshall declared that Defendant
6 Buenrostro called Plaintiff Roberts a “snitch,” told him the Crips “got off on his ass
7 a couple of months ago on the yard,” and told him not to help Plaintiff with his
8 legal papers. (Marshall Decl. ¶ 1, Doc. 119, at 98.) Inmate Curtis Rusher declared
9 that Defendant Buenrostro told him that Plaintiff was arrested for child molestation
10 in the 1980s, offered to provide the documents showing that what he was saying
11 was true, and expressed his desire to see Plaintiff “handled good enough to get him
12 out of here!” (Rusher Decl. ¶ 2, Doc. 119, at 100.) Inmate Keith Williams declared
13 that Defendant Buenrostro told him if he and his “homeboys” put Plaintiff “in the
14 hospital this time,” he would bring “anything you want in here.” (Williams Decl. ¶
15 1, Doc. 119, at 103.) Inmate Kelvin Singleton declared that in July 2014, inmates
16 who were West Coast Crip members said a correctional officer offered “five
17 hundred dollars” to “fuck up an EOP inmate named Roberts ... for snitching on him
18 and some other officers who had come on A yard from the hole.” (Singleton Decl. ¶
19 3-4, Doc. 119, at 110-111.) He stated that he heard from other inmates that Roberts
20 was attacked during night yard. (Singleton Decl. ¶ 5, Doc. 119, at 111.) Inmate
21 Lavale Jones declared that Defendant Solis told him that he would get “transferred
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1 too” if he did not tell him which officers “Roberts is doing 602’s or legal
2 paperwork against.” (Jones Decl. ¶ 3, Doc. 119, at 77.) Inmate Mark Barbee
3 declared that Defendant Davis told him that Roberts is a snitch because he “wrote a
4 letter to the Warden and got a lot of investigations going against me and other
5 officers.” (Barbee Decl. ¶ 3, Doc. 119, at 89.) Inmate Russell Squires declared that
6 Defendant Meza told him that Roberts was a snitch for writing 602’s against fellow
7 correctional officers. (Squires Decl. ¶ 2, Doc. 119, at 95.) He also declared that
8 Defendant Meza said that he refused to give him “disinfect, cell phones, lighters,
9 tobacco . . . until one of you guys put Bull in the hospital.” (*Id.*)

13 IV. STANDARD OF REVIEW

14 Rule 56(c) of the Federal Rules of Civil Procedure authorizes the granting of
15 summary judgment “if the pleadings, depositions, answers to interrogatories, and
16 admissions on file, together with the affidavits, if any, show that there is no genuine
17 issue as to any material fact and that the moving party is entitled to judgment as a
18 matter of law.” The standard for granting a motion for summary judgment is
19 essentially the same as for the granting of a directed verdict. Judgment must be
20 entered, “if, under the governing law, there can be but one reasonable conclusion as
21 to the verdict.” *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 250 (1986). “If
22 reasonable minds could differ,” however, judgment should not be entered in favor
23 of the moving party. *Id.* at 250-51.
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1 The parties bear the same substantive burden of proof as would apply at a trial
2 on the merits, including plaintiff's burden to establish any element essential to his
3 case. Liberty Lobby, 477 U.S. at 252. The moving party bears the initial burden of
4 identifying the elements of the claim in the pleadings, or other evidence, which the
5 moving party "believes demonstrate the absence of a genuine issue of material
6 fact." Celotex v. Catrett, 477 U.S. 317, 323 (1986). "A material issue of fact is one
7 that affects the outcome of the litigation and requires a trial to resolve the parties'
8 differing versions of the truth." S.E.C. v. Seaboard Corp., 677 F.2d 1301, 1306 (9th
9 Cir. 1982). More than a "metaphysical doubt" is required to establish a genuine
10 issue of material fact. Matsushita Elec. Indus. Co., Ltd. v. Zenith Radio Corp., 475
11 U.S. 574, 586 (1986).

12 The burden then shifts to the non-moving party to establish, beyond the
13 pleadings, that there is a genuine issue for trial. See Celotex, 477 U.S. at 324. To
14 successfully rebut a properly supported motion for summary judgment, the
15 nonmoving party "must point to some facts in the record that demonstrate a genuine
16 issue of material fact and, with all reasonable inferences made in the plaintiff[']s
17 favor, could convince a reasonable jury to find for the plaintiff[]." Reese v.
18 Jefferson School Dist. No. 14J, 208 F.3d 736, 738 (9th Cir. 2000).

19 While the district court is "not required to comb the record to find some reason
20 to deny a motion for summary judgment," Forsberg v. Pacific N.W. Bell Tel. Co.,
21 840 F.2d 1409, 1418 (9th Cir. 1988), the court may nevertheless exercise its

1 discretion “in appropriate circumstances,” to consider materials in the record which
2 are on file but not “specifically referred to.” Carmen v. San Francisco Unified Sch.
3 Dist., 237 F.3d 1026, 1031 (9th Cir. 2001). However, the court need not “examine
4 the entire file for evidence establishing a genuine issue of fact, where the evidence
5 is not set forth in the opposing papers with adequate references so that it could be
6 conveniently found.” Id.

9 In ruling on a motion for summary judgment, the court need not accept legal
10 conclusions “in the form of factual allegations.” Western Mining Council v. Watt,
11 643 F.2d 618, 624 (9th Cir. 1981). “No valid interest is served by withholding
12 summary judgment on a complaint that wraps nonactionable conduct in a jacket
13 woven of legal conclusions and hyperbole.” Vigliotto v. Terry, 873 F.2d 1201,
14 1203 (9th Cir. 1989). Moreover, “[a] conclusory, self-serving affidavit, lacking
15 detailed facts and any supporting evidence, is insufficient to create a genuine issue
16 of material fact.” F.T.C. v. Publ’g Clearing House, Inc., 104 F.3d 1168, 1171 (9th
17 Cir. 1997). While “the district court may not disregard a piece of evidence at the
18 summary stage solely based on its self-serving nature,” Nigro v. Sears, Roebuck &
19 Co., 784 F.3d 495, 497-498 (9th Cir. 2015) (finding plaintiff’s “uncorroborated and
20 self-serving declaration sufficient to establish a genuine issue of material fact
21 because the “testimony was based on personal knowledge, legally relevant, and
22 internally consistent”), “[t]he district court can disregard a self-serving declaration
23 that states only conclusions and not facts that would be admissible evidence.” Id. at

1 497 (citations omitted). “[T]he court must consider whether the evidence presented
2 in the affidavits is of sufficient caliber and quantity to support a jury verdict for the
3 nonmovant. A ‘scintilla of evidence,’ or evidence that is ‘merely colorable’ or ‘not
4 significantly probative,’ is not sufficient to present a genuine issue as to a material
5 fact.” United Steelworkers of America v. Phelps Dodge Corp., 865 F.2d 1539, 1542
6 (9th Cir. 1989) (citations omitted).
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9 “A trial court can only consider admissible evidence in ruling on a motion for
10 summary judgment.” Orr v. Bank of America, NT & SA, 285 F.3d 764, 773 (9th
11 Cir. 2002). “We have repeatedly held that unauthorized documents cannot be
12 considered in a motion for summary judgment.” Id. “To survive summary
13 judgment, a party does not necessarily have to produce evidence in a form that
14 would be admissible at trial, as long as the party satisfies the requirements of
15 Federal Rule of Civil Procedure 56.” Block v. City of Los Angeles, 253 F.3d 410,
16 418-419 (9th Cir. 2001).
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20 V. DISCUSSION

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22 Defendants argue that Plaintiff has failed to establish a triable issue of material
23 fact that Defendants R. Davis, A. Buenrostro, C. Meza, A. Parker, R. Solis, R.
24 Santiago, and K. Seibel all retaliated against him for engaging in First Amendment
25 conduct. (Doc. 172.) Defendants argue that the evidence shows that Defendants
26 acted solely on the basis of legitimate penological interests and not in retaliation
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1 against Plaintiff. (Doc. 172, at 20.) Defendants also argue that Plaintiff's state law
2 claims do not contain a private right of action or other civil-enforcement
3 mechanism. (Doc. 172-1, at 23.) Finally, Defendants argue that they are entitled to
4 qualified immunity because their conduct did not violate clearly established
5 statutory or constitutional rights of which a reasonable person would have known.
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8 (Id.)

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10 In opposition to Defendants' motion for summary judgment, Plaintiff has
11 submitted declarations from himself and from other inmates that only address the
12 direct actions of Defendants Buenrostro, Meza, Davis, Parker, and Solis. (Doc.
13 119.) Based on the evidence submitted by the parties, the Court makes the
14 following recommendations:
15

16 **A. Defendants are entitled to summary judgment as to Plaintiff's First**
17 **Amendment retaliation claims against R. Solis, R. Santiago, and K.**
18 **Seibel.**
19

20 The fundamentals of a retaliation claim are easily summarized: "Within the
21 prison context, a viable claim of First Amendment retaliation entails five basic
22 elements: (1) An assertion that a state actor took some adverse action against an
23 inmate (2) because of (3) that prisoner's protected conduct, and that such action (4)
24 chilled the inmate's exercise of his First Amendment rights, and (5) the action did
25 not reasonably advance a legitimate correctional goal." Rhodes v. Robinson, 408
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1 F.3d 559, 567-68 (9th Cir. 2005) (citing Resnick v. Hayes, 213 F.3d 443, 449 (9th
2 Cir. 2000)). It is the plaintiff's burden to prove each of these elements. Pratt v.
3 Rowland, 65 F.3d 802, 806 (9th Cir. 1995).

4
5 Under the first element, plaintiff need not prove that the alleged retaliatory
6 action, in itself, violated a constitutional right. Id. (to prevail on a retaliation claim,
7 plaintiff need not "establish an independent constitutional interest" was violated);
8 see also Hines v. Gomez, 108 F.3d 265, 268 (9th Cir. 1997) (upholding jury
9 determination of retaliation based on filing of a false rules violation report); Rizzo
10 v. Dawson, 778 F.2d 527, 531 (9th Cir. 1985) (transfer of prisoner to a different
11 prison constituted adverse action for purposes of retaliation claim). The interest
12 cognizable in a retaliation claim is the right to be free of conditions that would not
13 have been imposed but for the alleged retaliatory motive.
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17 To prove the second element – retaliatory motive – plaintiff must show that
18 his protected activities were a "substantial" or "motivating" factor behind the
19 defendant's challenged conduct. Brodheim v. Cry, 584 F.3d 1262, 1269, 1271 (9th
20 Cir. 2009). Plaintiff must provide direct or circumstantial evidence of defendant's
21 alleged retaliatory motive; mere speculation is not sufficient. See McCollum v.
22 CDCR, 647 F.3d 870, 882–83 (9th Cir. 2011); accord Wood v. Yordy, 753 F.3d
23 899, 905 (9th Cir. 2014). In addition to demonstrating defendant's knowledge of
24 plaintiff's protected conduct, circumstantial evidence of motive may include: (1)
25 proximity in time between the protected conduct and the alleged retaliation; (2)
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1 defendant's expressed opposition to the protected conduct; and (3) other evidence
2 showing that defendant's reasons for the challenged action were false or pretextual.
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4 McCollum, 647 F.3d at 882.

5 The third element concerns a prisoner's First Amendment right to access the
6 courts. Lewis v. Casey, 518 U.S. 343, 346 (1996). While prisoners have no
7 freestanding right to a prison grievance process, see Ramirez v. Galaza, 334 F.3d
8 850, 860 (9th Cir. 2003), "a prisoner's fundamental right of access to the courts
9 hinges on his ability to access the prison grievance system." Bradley v. Hall, 64
10 F.3d 1276, 1279 (9th Cir. 1995), overruled on other grounds by Shaw v. Murphy,
11 532 U.S. 223, 230 n.2 (2001). Because filing administrative grievances and
12 initiating civil litigation are protected activities, it is impermissible for prison
13 officials to retaliate against prisoners for engaging in these activities. Rhodes, 408
14 F.3d at 567–68. Protected speech also includes an inmate's statement of intent to
15 pursue an administrative grievance or civil litigation. Watson v. Carter, 668 F.3d
16 1108, 1114 (9th Cir. 2012); Rhodes, 408 F.3d at 567; Bruce v. Ylst, 351 F.3d 1283,
17 1288 (9th Cir. 2003).

18 Under the fourth element, plaintiff need not demonstrate a "total chilling of his
19 First Amendment rights," only that defendant's challenged conduct "would chill or
20 silence a person of ordinary firmness from future First Amendment activities."
21 Rhodes, 408 F.3d at 568–69 (citation and internal quotation marks omitted).
22 Moreover, direct and tangible harm will support a retaliation claim even without
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1 demonstration of a chilling effect on the further exercise of a prisoner's First
2 Amendment rights. Id. at 568 n.11. "[A] plaintiff who fails to allege a chilling
3 effect may still state a claim if he alleges he suffered some other harm" as a
4 retaliatory adverse action. Brodheim, 584 F.3d at 1269 (citing Rhodes, 408 F.3d at
5 568 n.11).
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8 Regarding the fifth element, the Ninth Circuit has held that preserving
9 institutional order, discipline, and security are legitimate penological goals that, if
10 they provide the motivation for an official act taken, will defeat a claim of
11 retaliation. Barnett v. Centoni, 31 F.3d 813, 816 (9th Cir. 1994); Rizzo, 778 F.2d at
12 532. When considering this final factor, courts should "'afford appropriate
13 deference and flexibility' to prison officials in the evaluation of proffered legitimate
14 penological reasons for conduct alleged to be retaliatory." Pratt, 65 F.3d at 807
15 (quoting Sandin v. Conner, 515 U.S. 472, 482 (1995)). Plaintiff bears the burden of
16 pleading and proving the absence of legitimate correctional goals for defendant's
17 challenged conduct. Pratt, 65 F.3d at 806. A plaintiff must prove that the alleged
18 retaliatory motive was the but-for cause of the challenged actions. Hartman v.
19 Moore, 547 U.S. 250, 260 (2006).
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24 Here, Plaintiff has failed to provide sufficient admissible evidence that
25 Defendants K. Seibel, R. Solis, and R. Santiago retaliated against him for no valid
26 penological reason while he was incarcerated at RJ Donovan. Defendant Seibel
27 never had any knowledge that others were planning to retaliate, or were retaliating,
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1 against Plaintiff at any time. (Seibel Decl. ¶ 10.) Defendants Seibel and R. Solis
2 never took any adverse action against Plaintiff for any protected conduct that he
3 may have engaged in, including placing Plaintiff's name on a list for transfer out of
4 RJ Donovan in September or October 2014; in fact, Plaintiff was recommended to
5 be retained at RJ Donovan, and this recommendation was endorsed on March 26,
6 2014 and again on September 12, 2014. (Seibel Decl. ¶¶ 3, 8-9; Solis Decl. ¶ 3.)
7 Defendant Santiago never retaliated against Plaintiff for any reason or
8 manufactured any charges against him. (Santiago Decl. ¶¶ 2-3.) And Defendants
9 Seibel, Santiago, and Solis never called Plaintiff a snitch or child molester at any
10 time. (Seibel Decl. ¶ 11; Santiago Decl. ¶ 5; and Solis Decl. ¶ 5.)
11

12 The only evidence submitted by Plaintiff that remotely addresses the behavior
13 of Defendants K. Seibel, R. Solis, and R. Santiago is the following: Inmate Lavale
14 Jones declared that Defendant Solis told him that he would get "transferred too" if
15 he did not tell him which officers "Roberts is doing 602's or legal paperwork
16 against." (Jones Decl. ¶ 3, Doc. 119, at 77.) Inmate Kelvin Singleton declared that
17 in July 2014, inmates who were West Coast Crip members said a correctional
18 officer offered "five hundred dollars" to "fuck up an EOP inmate named Roberts ...
19 for snitching on him and some other officers who had come on A yard from the
20 hole." (Singleton Decl. ¶ 3-5; Doc. 119, at 110-111.) He stated that he heard from
21 other inmates that Roberts was attacked during night yard. (*Id.*) Finally, Plaintiff
22 submitted his own declaration stating the following: that Defendant Solis told
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1 another inmate that he heard that Roberts was going to be transferred and that, as a
2 result, he was fearful that Defendant Solis was “going to cause me harm again
3 because of filing 602’s or legal actions against RJDCF prison officials” (Roberts
4 Decl. ¶¶ 54-55); that Defendant Ciborowski told him that he was going to be
5 transferred and that Chief Deputy Warden Seibel “is tired of you with all these
6 602’s” (Roberts Decl. ¶ 50); and that inmate Billy Titus told him that he overheard
7 Defendant Santiago telling Captain Sanchez that Roberts “had inmate Goldmas,
8 CDCR # F-31549, injure himself in order to set Officer Buenrostro up.” (Roberts
9 Decl. ¶ 49.)

13 Despite this proffer, Plaintiff fails to convince the Court that he has submitted
14 sufficient evidence establishing each of the five elements of a First Amendment
15 retaliation claim as to Defendants Santiago, Seibel, or Solis. To begin, other than
16 providing inadmissible hearsay statements, Plaintiff has not directly addressed the
17 actions of Defendants Santiago or Seibel in his own declaration and has failed to
18 submit any admissible evidence that Defendants Santiago or Seibel retaliated
19 against him. With regard to Defendant Solis, although inmate Lavale Jones declared
20 that Defendant Solis told him that he would get “transferred too” if he did not tell
21 him which officers “Roberts is doing 602’s or legal paperwork against” (Jones
22 Decl. ¶ 3, Doc. 119, at 77), the record shows that Plaintiff was recommended to be
23 retained and remained at RJ Donovan in the fall of 2014; moreover, other than
24 offering hearsay statements and conclusory arguments, Plaintiff has failed to

1 specify with particularity the actual “harm” Defendant Solis committed against him
2 specifically to chill his First Amendment rights. Because the Court finds that
3 Plaintiff has failed to present any evidence of sufficient caliber or quantity to
4 support a jury verdict in his favor as to the retaliation claims made against
5 Defendants K. Seibel, R. Santiago, and R. Solis, the Court recommends that
6 Defendants’ motion for summary judgment as to the retaliation claims against these
7 three Defendants be granted.
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9
10 **B. Some of Plaintiff’s First Amendment retaliation allegations against**
11 **Defendants Parker, Meza, Davis, and Buenrostro survive summary**
12 **judgment.**
13

14 In Defendants’ motion for summary judgment, Defendants Parker, Meza,
15 Davis, and Buenrostro have submitted evidence that they did not retaliate against
16 Plaintiff in violation of the First Amendment. However, unlike the lack of evidence
17 against the other three Defendants, Plaintiff has presented enough evidence that
18 could support a jury verdict that Defendants Parker, Meza, Davis, and Buenrostro
19 retaliated against Plaintiff for the sole purpose of chilling his First Amendment
20 rights.
21

22 **Defendant Parker**
23

24 Defendant Parker declared that he did not confiscate a civil rights lawsuit
25 during a search of Plaintiff’s cell on June 3, 2014, that he did not “concoct” false
26 disciplinary charges against Plaintiff, that he did not “plant” a bag of tobacco on
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1 Plaintiff's bunk, that he did not search Plaintiff's cell in retaliation for any protected
2 conduct that Plaintiff may have engaged in or for any other improper reason, and
3 that he did not conspire with Defendant Buenrostro, or any other correctional staff
4 member or inmate, to file false disciplinary charges against Plaintiff. (Parker Decl.
5 ¶¶ 2, 4, and 6.) In his opposition papers, Plaintiff has provided the following
6 evidence to support his First Amendment retaliation allegations: Plaintiff submitted
7 his own declaration stating that Defendant Parker confiscated a motion for
8 preliminary injunction with attached declarations during a cell search, which denied
9 him the ability to support his allegations for a preliminary injunction. (Roberts
10 Decl. ¶¶ 42, 44, Doc. 119, at 37-38.)

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14 **Defendant Meza**

15 Defendant Meza declared that he did not take any adverse action against
16 Plaintiff because Plaintiff corresponded with the "class monitors" of CDCR's
17 mental health delivery system, that he never interfered with or refused to process
18 Plaintiff's outgoing or incoming mail, that he never confiscated or otherwise
19 obtained any of Plaintiff's legal materials, that he never manufactured any charges
20 against Plaintiff at any time, and that he never called Plaintiff a snitch or child
21 molester. (Meza Decl. ¶¶ 2, 3, 4, 5, and 6.) In his opposition papers, Plaintiff has
22 provided the following evidence to support his First Amendment retaliation
23 allegations: He submitted his own declaration stating that Defendant Meza refused
24 to process as outgoing mail a Coleman letter to class monitors on March 6, 2014 as

1 well as a declaration from inmate Russell Squires, who said that Defendant Meza
2 told him that Roberts was a snitch for writing 602's against fellow correctional
3 officers and that he said that he refused to give him "disinfect, cell phones, lighters,
4 tobacco . . . until one of you guys put Bull in the hospital." (Squires Decl. ¶ 2, Doc.
5 119, at 95.)
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8 **Defendant Davis**

9 Defendant Davis declared that he never participated in an "ongoing conspiracy
10 to purposefully punish [Plaintiff] for exercising his right to file inmate grievances"
11 and that he never called Plaintiff a snitch or child molester. (Davis Decl. ¶¶ 2-3.) In
12 his opposition papers, Plaintiff has provided the following evidence to support his
13 First Amendment retaliation allegations: Plaintiff submitted his own declaration
14 stating that Defendant Davis engaged in unlawful and repressive conduct against
15 him as he attempted to access RJ Donovan's inmate appeal procedure to complain
16 about the Defendants' conduct towards him, including paying Black Street Gang
17 members money to attack him, which occurred on July 14, 2014. (Roberts Decl. ¶¶
18 22 and 53, Doc. 119, at 33, 40.) He also submitted the declaration of inmate Mark
19 Barbee, who declared that Defendant Davis told him that Roberts is a snitch
20 because he "wrote a letter to the Warden and got a lot of investigations going
21 against me and other officers." (Barbee Decl. ¶ 3, Doc. 119, at 89.)
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1 **Defendant Buenrostro**

2 Defendant Buenrostro submitted a declaration stating that he did not take any
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4 adverse action against Plaintiff because Plaintiff corresponded with the “class
5 monitors” of CDCR’s mental health delivery system, that he did not interfere with
6 or refuse to process Plaintiff’s incoming or outgoing mail, and that he did not
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8 confiscate a civil rights lawsuit during a search of Plaintiff’s cell on June 3, 2014.
9 (Buenrostro Decl. ¶¶ 2, 3, and 11.) Defendant Buenrostro stated that he did not
10 “concoct” false disciplinary charges against Plaintiff, that he did not manufacture
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12 any charges against Plaintiff at any time or asked others to do so, and that he has
13 never taken any adverse action against Plaintiff that was not based upon a
14 legitimate, penological reason. (Buenrostro Decl. ¶¶ 12, 20.) Defendant Buenrostro
15
16 never told Plaintiff that he would “get some payback,” never attempted to set up
17 Plaintiff to be injured by other inmates, has never threatened Plaintiff or bribed or
18 caused another inmate to assault, attack, or hurt Plaintiff, and has never called
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20 Plaintiff a snitch or child molester at any time. (Buenrostro Decl. ¶¶ 21-24.) Finally,
21 Defendant Buenrostro stated that he conducted a clothed body search of Plaintiff
22 for a valid penological reason, that he never plotted to transfer Plaintiff to another
23 prison (which in any event did not happen in October 2014), and only searched
24 Plaintiff’s cell for valid penological reasons. (Buenrostro Decl. ¶¶ 5, 8, 13, and 15.)

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26 In his opposition papers, Plaintiff has provided the following evidence to
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28 support his First Amendment retaliation allegations: Plaintiff stated that Defendant

1 Buenrostro refused to process as outgoing mail a Coleman letter to class monitors
2 on March 6, 2014; that he was retaliated against by Defendant Buenrostro during a
3 pat-down search (“sexual assault”); that Defendant Buenrostro falsely accused him
4 of having contraband during the search of his cell on June 3, 2014; that Defendant
5 Buenrostro told inmate Gerald Marshall that Plaintiff was a “snitch;” and that
6 Defendant Buenrostro told inmate Curtis Rusher that Plaintiff was a “child
7 molester.” (Roberts Decl. ¶¶ 27, 31, 45, 56-58, Doc. 119, at 34-35, 38, 41.) Plaintiff
8 also submitted the following inmate declarations: Inmate Juley Gordon stated that
9 Defendant Buenrostro told him that anyone found helping Plaintiff file 602 appeals
10 would be on his hit-list (Gordon Decl. ¶ 7, Doc. 119, at 83); Inmate Gerald
11 Marshall declared that Defendant Buenrostro called Plaintiff Roberts a “snitch,”
12 told him the Crips “got off on his ass a couple of months ago on the yard,” and told
13 him not to help Plaintiff with his legal papers (Marshall Decl. ¶ 1, Doc. 119, at 98);
14 Inmate Curtis Rusher declared that Defendant Buenrostro told him that Plaintiff
15 was arrested for child molestation in the 1980s and expressed his desire to see
16 Plaintiff “handled good enough to get him out of here!” (Rusher Decl. ¶ 2, Doc.
17 119, at 100); and Inmate Keith Williams declared that Defendant Buenrostro told
18 him if he and his “homeboys” put Plaintiff “in the hospital this time,” he would
19 bring “anything you want in here” (Williams Decl. ¶ 1, Doc. 119, at 103).

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1 **Analysis**

2 While Plaintiff has failed to put forth sufficient evidence demonstrating that a
3 retaliatory motive to chill Plaintiff's First Amendment rights was the but-for cause
4 of Defendant Buenrostro's clothed body search of Plaintiff, the searches of his cell
5 for contraband, or Plaintiff's retention status at RJ Donovan, Plaintiff has
6 demonstrated that there is a need for a trial to decide the following: 1) whether
7 Defendant Parker confiscated his legal papers to deny him access to the courts; 2)
8 whether Defendant Buenrostro labeled Plaintiff a snitch or a child molester in front
9 of other inmates in order to chill Plaintiff's First Amendment rights; 3) whether
10 Defendant Davis labeled Plaintiff a snitch to another inmate and engaged in
11 retaliatory conduct including paying others to harm Plaintiff in order to chill
12 Plaintiff's First Amendment rights; 4) whether Defendant Meza called Plaintiff a
13 snitch in front of another inmate and made attempts to deter Plaintiff's First
14 Amendment conduct; 5) whether Defendant Buenrostro recruited other inmates to
15 harm Plaintiff in order to chill Plaintiff's First Amendment rights; and 6) whether
16 Defendant Buenrostro refused to process his litigation mail or otherwise deterred
17 Plaintiff's ability to pursue the legal process in order to chill Plaintiff's First
18 Amendment rights. Viewing the facts in light most favorable to Plaintiff, the Court
19 concludes that by providing evidence that Defendant Parker confiscated his legal
20 papers and that Defendants Buenrostro, Meza, and Davis referred to Plaintiff as a
21 snitch in front of other inmates, put Plaintiff at potential risk of assault from other
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1 prisoners, and made verbal and/or physical attempts to thwart Plaintiff's access to
2 the legal process, Plaintiff has raised triable issues of fact as to whether Defendants
3 Parker's, Meza's, Davis's, and Buenrostro's actions chilled the exercise of his First
4 Amendment rights for no valid penological reason.

5
6 Although defense counsel has raised the defense of qualified immunity which
7 protects "government officials . . . from liability for civil damages insofar as their
8 conduct does not violate clearly established statutory or constitutional rights of
9 which a reasonable person should have known," Harlow v. Fitzgerald, 457 U.S.
10 800, 818 (1982), "courts may not resolve genuine disputes of fact in favor of the
11 party seeking summary judgment," and must, as in other cases, view the evidence
12 in the light most favorable to the nonmovant. Tolan v. Cotton, 134 S. Ct. 1861,
13 1866 (2014). The inquiry of whether a constitutional right was clearly established
14 must be undertaken in light of the "specific context" of the case and not as a broad
15 general proposition. Saucier v. Katz, 533 U.S. 194, 202 (2001) (overruled on other
16 grounds). The relevant, dispositive inquiry in determining whether a right is clearly
17 established is whether it would be clear to a reasonable officer that his conduct was
18 unlawful in the situation he was in. Id. Whether the alleged adverse acts of
19 harassment and intimidation taken by Defendants Parker, Davis, Meza, and
20 Buenrostro would likely chill a person of ordinary firmness from continuing to
21 exercise his First Amendment rights remains a question of fact, and thus the issue
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1 of qualified immunity with respect to Defendants Parker, Davis, Meza, and
2 Buenrostro cannot now be decided as a matter of law.

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4 In sum, Defendants' motion for summary judgment of Plaintiff's First
5 Amendment retaliation claims against Defendants Parker, Davis, Meza, and
6 Buenrostro should be denied.

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8 **C. Plaintiff's state law claims do not survive summary judgment.**

9 In addition to his federal claims, Plaintiff has asserted state law claims under
10 California Penal Code §§ 2600, 2651, and 2601(b) (Doc. 1, at ¶¶ 82-84) and under
11 Title 15 of the California Code of Regulations, §§ 3004, 3060(a), 3061, 3084.1(d),
12 3084.2(f), 3130, 3133(e), 3141(c)(1), 3142, 3144, 3268.2 (c)(1), 3271, 3291(c), and
13 3401.5(a)(3)(E)(F)³ (Doc. 1, at ¶¶ 84-95). Plaintiff has also asserted a general
14 negligence claim against Defendant Seibel "for failing to institute measures to
15 control subordinates and supervise" the correctional officer Defendants. (Doc. 1, at
16 ¶ 96.) In their reply brief, Defendants make two arguments: 1) Plaintiff failed to
17 produce evidence showing that Defendants are not entitled to summary judgment
18 on Plaintiff's state law claims; and 2) the state law provisions cited by Plaintiff do
19 not contain any civil-enforcement provisions. (Doc. 175, at 3-5.)
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25 ³ Plaintiff argues that "Defendant Buenrostro violated California law on April
26 2, 2014 by committing sexual assault or battery upon Plaintiff's person." (Doc. 1, at
27 28.) As CAL. CODE REGS. tit 15, § 3401.5 is a California prison regulation that
28 addresses sexual misconduct by prison staff, the Court interprets Plaintiff's state
law assault and battery claim as an alleged violation of this rule.

1 With regard to Plaintiff's alleged violations of the California Penal Code,
2 Plaintiff fails to state a claim. See Logan v. Lonigro, 2013 WL 4049096, at *3
3 (E.D. Cal. August 7, 2013). Plaintiff may not sue Defendants for violations of the
4 Penal Code in federal court. Ellis v. City of San Diego, 176 F.3d 1183, 1189 (9th
5 Cir. 1999) (finding the district court properly dismissed claims brought under
6 various sections of the California Penal Code because those code sections did not
7 create enforceable individual rights); see Gonzaga University v. Doe, 536 U.S. 273,
8 283–86 (2002) (basing a claim on an implied private right of action requires a
9 showing that the statute both contains explicit rights creating terms and manifests
10 an intent to create a private remedy); see also Allen v. Gold Country Casino, 464
11 F.3d 1044, 1048 (9th Cir. 2006) (no private right of action for violation of criminal
12 statutes).

13 With regard to Plaintiff's alleged violations of Title 15 of the California Code
14 of Regulations, "[t]he Court is unaware of any authority for the proposition that
15 there exists a private right of action available to Plaintiff for violation of Title 15
16 regulations." Logan v. Lonigro, 2013 WL 4049096, at *3 (E.D. Cal. August 7,
17 2013). Under California law, "[i]t is well settled that there is a private right of
18 action to enforce a statute "only if the statutory language or legislative history
19 affirmatively indicates such an intent. . . . Particularly when regulatory statutes
20 provide a comprehensive scheme for enforcement by an administrative agency, the
21 courts ordinarily conclude that the Legislature intended the administrative remedy

1 to be exclusive unless the statutory language or legislative history clearly indicates
2 an intent to create a private right of action.” Thurman v. Bayshore Transit
3 Management, Inc., 138 Cal. Rptr. 3d 130, 146 (Cal. Ct. App. 2012) (citations and
4 internal quotations omitted). Under Title 15 of the California Code of Regulations,
5 the State of California provides its prisoners and parolees the right to appeal
6 administratively “any departmental decision, action, condition or policy perceived
7 by those individuals as adversely affecting their welfare.” CAL CODE REGS. tit 15, §
8 3084.1(a). A prisoner may even file appeals alleging violations of prison
9 regulations by correctional officers. See id. § 3084.1(e); see Houseman v. Padilla,
10 2002 WL 1578860, at *1 (N.D. Cal. July 12, 2002). Because Title 15 provides an
11 administrative remedy to enforce its provisions and the statutory language and
12 legislatively history do not clearly indicate an intent to create a private right of
13 action to enforce Title 15 regulations in a court of law, the Court finds that Plaintiff
14 has failed to state an enforceable claim in federal court under any of the provisions
15 that he has cited under Title 15. Cf. Logan v. Lonigro, 2013 WL 4049096, at *3
16 (E.D. Cal. Aug. 7, 2013) (no private right of action under California Government
17 Code section 3000); Bailey v. Root, 2010 WL 2803950, at *4 (S.D. Cal. July 14,
18 2010) (no private right of action for speedy-trial provisions of the California
19 Constitution). Even if there were arguably a private right of action enforceable in a
20 court of law under any of the regulations in Title 15, “[t]he district courts may
21 decline to exercise supplemental jurisdiction over a [state law] claim” if it “raises a
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1 novel or complex issue of State law.” 28 U.S.C. § 1367(c)(1). On discretionary
2 grounds, the Court recommends not exercising supplemental jurisdiction over these
3 arguably non-enforceable state law claims under Title 15 in federal court.
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5 Finally, with regard to Plaintiff’s general negligence claim against Defendant
6 Seibel “for failing to institute measures to control subordinates and supervise” the
7 correctional officer Defendants (Doc. 1, at ¶ 96), Plaintiff has failed to submit any
8 admissible evidence in the form of a declaration or other means supporting his
9 allegation against Defendant Seibel, who has denied any wrongdoing. In her
10 declaration, Seibel specifically states that she never conspired with any correctional
11 staff member, inmate, or any other person to retaliate against Plaintiff or otherwise
12 violate his civil rights and that she had no knowledge that any correctional staff
13 member acted inappropriately toward Plaintiff or was retaliating against Plaintiff.
14 (Seibel Decl. ¶ 4, Doc. 172-8, at 2.) She had no knowledge that any disciplinary
15 charges filed against Plaintiff were false or fabricated, and she had not seen any
16 such evidence. (*Id.*) Seibel’s declaration further illustrates the actions she took
17 relative to Plaintiff and the efforts she made to ensure that all her actions relative to
18 Plaintiff were proper. There is no evidence showing that Seibel breached any duty
19 owed to Plaintiff, and Plaintiff does not submit any admissible evidence rebutting
20 the evidence in Seibel’s declaration. As such, summary judgment as to any
21 negligence claim against Seibel is warranted.
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1 VI. CONCLUSIONS

2 For the aforementioned reasons, the Court recommends the following:

- 3
- 4 1) that Defendants' summary judgment motion be granted as to Plaintiff's
- 5 First Amendment claims against Defendants Solis, Santiago, and Seibel;
- 6
- 7 2) that Defendants' summary judgment motion be denied as to Plaintiff's First
- 8 Amendment claims against Defendants Parker, Davis, Meza, and
- 9 Buenrostro; and
- 10
- 11 3) that Defendants' summary judgment motion be granted as to all of
- 12 Plaintiff's state law claims.

13 The Court submits this Report and Recommendation to United States District

14 Judge William Q. Hayes under 28 U.S.C. § 636(b)(1) and Local Civil Rule HC.2 of

15

16 the United States District Court for the Southern District of California.

17 **IT IS HEREBY ORDERED** that any party to this action may file written

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19 objections with the Court and serve a copy on all parties no later than **February 14,**

20 **2019.** The document should be captioned "Objections to Report and

21 Recommendation."

22

23 The parties are advised that failure to file objections within the specified time

24 may waive the right to raise those objections on appeal of the Court's Order. See


25 Turner v. Duncan, 158 F.3d 449, 455 (9th Cir. 1998); Martinez v. Ylst, 951 F.2d

26 1153, 1157 (9th Cir. 1991).

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HON. RUTH BERMUDEZ MONTENEGRO
U.S. Magistrate Judge